

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FILING COMPLETION UNDER RULE 53(d)/60(d)/62(d)

(NOT PCT Applications)

For Design, Provisional or Utility Applications

PATENT
APPLICATION

COMPLETION Under
Rule 53(d)(1) or (2), 60(d) or 62(d)

In re PATENT APPLICATION of

Inventor(s): UEMURA

Appln. No.: 08	866,129
Series Code ⬆	Serial No. ⬆

Filed: May 30, 1997

Title: METHOD AND DEVICES RELATED ...

Attn: Application Division

Atty. Dkt. 238641	F97-121-US
M#	Client Ref
(Our Deposit Account No. 03-3975)	
(Our order No. 31317	238641
C#	M#

Date: December 22, 1997

Hon. Commissioner of Patents and Trademarks
Washington, DC 20231

Sir:

The following **completes the filing** under Rule 53(d)/60(d)/62(d) of the above-identified patent application:

1. **Notice to File Missing Parts** ☐ copy attached ☒ not yet received
2. ☒ Signed Declaration attached. ☒ Original ☐ Facsimile/Copy

(Always "X" box 2 if filing signed Declaration and

"X" box 2A only if top box of the Declaration is X'd and file application copy, or"X" box 2B only if none of the top three boxes of the Declaration is X'd.)

- 2A. ☐ Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.
- 2B. ☐ The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.

01/23/1998 581272M 20000001 00004129 Filed in non-English language; hence verified translation attached of:

01 FC:101
02 FC:105

- a. 790,000 OP
- b. 110,000 OP
- c. # pages of Specification (only spec. & claims)
- ☐ Drawing Fig(s).

4. ☐ Letter filing formal drawing attached.
5. ☒ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.
6. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

7. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in JAPAN

Application No.	Filing Date	Application No.	Filing Date
(1) 160885/1996	31 May 1996	(2)	
(3)		(4)	
(5)		(6)	

Completion Under Rule 53(d)/60(d)/62(d)

9. 1 (No.) Certified copy (copies): ☒ attached; ☐ previously filed (date) _____
in U.S. Application No. _____ / _____ filed on _____
10. ☐ Attached: _____ (No.) Verified Statement(s) establishing "small entity" status under Rules 9 & 27.
11. ☒ Attached: 3 sheets of formal drawings (Figs. 1, 2A-2B, 3, 4, 5A-5B)
12. ☐ Preliminary Amendment:

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

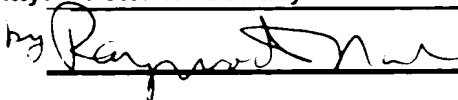
				Large/Small Entity		Fee Code
13 Basic Filing Fee Design Application				\$320/\$160		106/26
. Not Design Application				\$790/\$385	+790	101/201
14 Total Effective Claims	19	minus 20 =	0	x \$22/\$11	+0	103/203
(Base this Ⓢ on claims <u>as amended</u> to effect CIP if this is a Rule 62(d) completion)						
15 Independent Claims	3	minus 3 =	0	x \$80/\$40	+0	102/202
16. If <u>any proper</u> multiple dependent claim (ignore improper) is present, (Leave this line <u>blank</u> if this is a <u>reissue</u> application)				\$260/\$130	+0	104/204
17 Surcharge for filing Declaration/filing fee late				\$130/\$65	+130	105/205
18. FILING FEE ENCLOSED =					\$900	
19 Original due date: July 30, 1997						
20 Retition is hereby made to extend the <u>original</u> due date to (1 mo)				\$110/\$55 =	+0	115/215
cover the date this response is filed for which the requisite fee (2mos)				\$390/\$195 =		116/216
is attached (3mos)				\$930/\$465 =		117/217
(4mos)				\$1470/\$735 =		118/218
21 If "non-English" box 3 is X'd, add Rule 17(k) processing fee				\$130	+0	139
22 If "assignment" box 5 is X'd, add recording fee				\$40	+40	581
23 Attached is a Rule 47 Petition and Petition Fee (per Rule 17(h))				\$130	+0	122
24 TOTAL FEE ENCLOSED =					\$940	

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This **CHARGE STATEMENT** does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

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NOTE: File in duplicate with PTO receipt (CDC-103A) and attachments